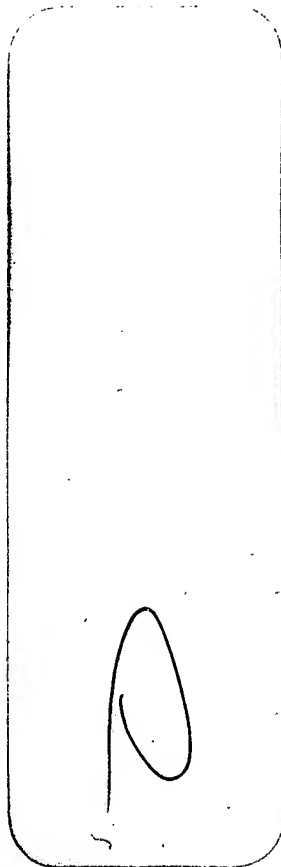


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Organization

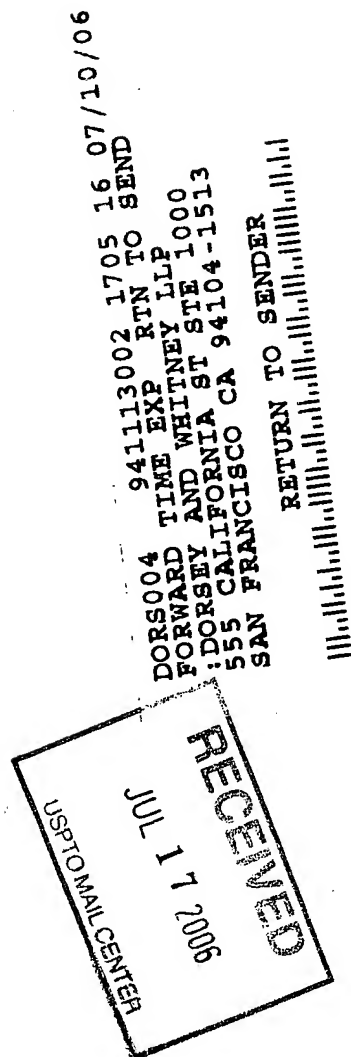
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/849,749	05/19/2004	George Claseman	33773	8575

7590

07/03/2006

Dorsey & Whitney LLP  
Intellectual Property Department  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

EXAMINER

SAVLA, ARPAN P

ART UNIT

PAPER NUMBER

2185

DATE MAILED: 07/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/849,749	CLASEMAN, GEORGE	
	<b>Examiner</b>	<b>Art Unit</b>	
	Arpan P. Savla	2185	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 19 May 2004.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13, 15-18, 20 and 22-25 is/are rejected.
- 7) ☒ Claim(s) 5, 14 and 19-21 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 May 2004 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

The instant application having Application No. 10/846,579 has a total of 20 claims pending in the application, there are 3 independent claims and 17 dependent claims, all of which are ready for examination by Examiner.

### **INFORMATION CONCERNING OATH/DECLARATION**

#### **Oath/Declaration**

1. Applicant's oath/declaration has been reviewed by Examiner and is found to conform to the requirements prescribed in 37 CFR 1.63.

### **INFORMATION CONCERNING DRAWINGS**

#### **Drawings**

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, **the "control engine" in claims 7-25 and the "plurality of register banks" in claim 23** must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet,

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and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

#### **ACKNOWLEDGMENT OF REFERENCES CITED BY APPLICANT**

##### **Information Disclosure Statement**

3. As required by MPEP § 609(c), Applicant's submission of the Information Disclosure Statement dated February 14, 2005 is acknowledged by Examiner and cited references have been considered in the examination of the claims now pending. As required by MPEP § 609 c(2), a copy of the PTOL-1449 initialed and dated by Examiner is attached to the instant office action.

#### **OBJECTIONS**

##### **Specification**

4. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: The subject matter in question is disclosed in claims 5 and

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20. The claims disclose "a first operational code is associated with a first block and a second operational code is associated with a second block." However, Applicant's specification does not specifically disclose this subject matter. Paragraph 0015, lines 10-11 disclose the fact that operational code 142 provides direction for handling operations on the designated block. However, nowhere in Applicant's specification does it specifically disclose that there are two **distinct** operational codes associated with two **distinct** blocks as claimed in claims 5 and 20.

### Claims

5. Claims 14, 19, and 22 are objected to because of the following informalities:

6. As per claim 14, on line 2 of the claim the Examiner suggests the phrase "plurality of registers" instead read "plurality of location registers" in order to lessen confusion as well as make the claim language more in line with the specification (just as the Applicant has done in claims 5 and 20 by using the language "plurality of location registers").

7. As per claim 19, on line 2 of the claim the Examiner suggests the phrase "plurality of third registers" instead read "plurality of control registers" in order to lessen confusion as well as make the claim language more in line with the specification (just as the Applicant has done in claims 5 and 20 by using the language "plurality of control registers").

8. As per claim 22, on line 1 of the claim the word "lcoation" should read "location."

Appropriate correction is required.

**REJECTIONS NOT BASED ON PRIOR ART**

**Claim Rejections - 35 USC § 112**

9. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

10. **Claims 5 and 20 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement.** The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The subject matter in question is disclosed in claims 5 and 20. The claims disclose "a first operational code is associated with a first block and a second operational code is associated with a second block." However, Applicant's specification does not specifically disclose this subject matter. Paragraph 0015, lines 10-11 disclose the fact that operational code 142 provides direction for handling operations on the designated block. However, nowhere in Applicant's specification does it specifically disclose that there are two **distinct** operational codes associated with two **distinct** blocks as claimed in claims 5 and 20.

11. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

12. **Claim 20 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.**

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13. The claim recites the limitation "said plurality of block indicator registers" in lines 4-5 of the claim. There is insufficient antecedent basis for this limitation in the claim. Applicant may consider amending the claims to read "said plurality of location registers."

## **REJECTIONS BASED ON PRIOR ART**

### **Claim Rejections - 35 USC § 102**

14. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

15. **Claims 1-4, 6-12, 15-18, 22-24 are rejected under 35 U.S.C. 102(b) as being anticipated by Don Pannell, "Clause 22 Access to Clause 45 Registers", hereafter "Pannell."**

16. **As per claim 1**, Pannell discloses a method for expanding addressing capability of a plurality of registers (pg. 14, the "Addr Reg", the "C45 RW Control", and the "65,536 Registers") and connected to an interface comprising:

designating at least two of the plurality of registers as a block of registers (pg. 14, the "65,536 Registers"); *It should be noted that the 65,536 registers comprise at least one "block of registers"*

providing a plurality of such blocks of registers (pg. 14, the "65,536 Registers");

designating a first register within the plurality of registers that is separate from the blocks of registers as a location register for selectively characterizing at least one of

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such blocks of registers as an indicated block of registers (pg. 14, the "Addr Reg"; pg. 10, the "Management Frame Fields - Clause 45" table); *It should be noted that the "Addr Reg" is analogous to the "first register."* *It should also be noted that the Addr Reg is used to address (i.e. characterize) the block of 65,536 Registers.*

and designating a second register within the plurality of registers that is separate from the blocks of registers as a control register for specifying at least one operation for the indicated block of registers (pg. 14, the "C45 R/W Control"; pg. 10, the "Management Frame Fields - Clause 45" table). *It should be noted that the "C45 R/W Control" is analogous to the "second register."* *It should also be noted that the "opcode" stored in C45 R/W Control specifies at least one operation for the block of 65,536 Registers.*

17. **As per claim 2**, Pannell discloses the first register includes a block selector for selectively characterizing at least one of such blocks of registers as an indicated block of registers (pg. 14, the "Device Select" signal). *It should be noted that the Device Select signal sent to the C45 R/W Control and then eventually to the Addr Reg effectively acts as a "block selector" for the Addr Reg.*

18. **As per claim 3**, Pannell discloses the second register includes an operational code (pg. 14, the "the Opcode" being sent to the C45 R/W Control). *It should be noted that "Opcode" is analogous to "operational code."*

19. **As per claim 4**, Pannell discloses the second register includes a port indicator (pg. 14, the "Port Select" signal). *It should be noted that the Port Select signal sent to*

*the MUX, then AND gate, and eventually to the C45 R/W Control effectively acts as a "port indicator" for the C45 R/W Control.*

20. **As per claim 6**, Pannell discloses said location and control registers comprise registers compatible with IEEE standard 802.3 clause 22 (pg. 25).

21. **As per claim 7**, Pannell discloses a system for expanding the addressing capability of a plurality of registers (pg. 14, the "Addr Reg", the "C45 R/W Control", and the "65,536 Registers"), the system comprising:

a plurality of blocks of registers, each block of registers having at least two registers (pg. 14, the "65,536 Registers");

a location register separate from the plurality of blocks of registers for selectively characterizing at least one of the blocks of registers as a specified block of registers (pg. 14, the "Addr Reg"; pg. 10, the "Management Frame Fields - Clause 45" table); *It should be noted that the "Addr Reg" is analogous to the "location register."*

a control register separate from the plurality of blocks of registers for selecting at least one operational code for the specified block of registers and specifying at least one port number for the specified block of registers (pg. 14, the "C45 R/W Control"; pg. 10, the "Management Frame Fields - Clause 45" table); *It should be noted that the "C45 R/W Control" is analogous to the "control register."*

and a control engine operable to access the operational code for the specified block of registers and act on the specified block of registers at each of the specified port numbers in accordance with the operational code (pg. 14, the "Existing Clause 22 STA"). *It should be noted that the "Existing Clause 22 STA" is analogous to the "control*

*engine." It should be noted that the STA (station management) accesses and controls the PHY (physical layer interface). Thus, it is inherently required the STA access and control the block of 65,536 Registers within the PHY in accordance with the Opcode signal.*

22. **As per claim 8**, Pannell discloses the operational code specifies an operation to be performed on the specified block of registers (pg. 7, right column, lines 2-4; pg. 10, the "Management Frame Fields - Clause 45" table).

23. **As per claim 9**, Pannell discloses the operation is restricting the specified block of registers to read operations only (pg. 10, the "Management Frame Fields - Clause 45" table, the row entitled "Read.") *It should be noted that Opcode "11" results in a read operation.*

24. **As per claim 10**, Pannell discloses the operational code specifies control sequencing information (pg. 10, the "Management Frame Fields - Clause 45" table, the row entitled "Read Inc.").

25. **As per claim 11**, Pannell discloses the control sequencing information instructs the control engine to proceed to a next block after completing operations with the specified block (pg. 10, the "Management Frame Fields - Clause 45" table, the row entitled "Read Inc."; pg. 21, lines 4-6).

26. **As per claim 12**, Pannell discloses said location register includes a block selector indicating said block. (pg. 14, the "Device Select" signal). *Please see the citation note for claim 2 above.*

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27. **As per claim 15**, Pannell discloses said control register is operable to store an operational code (pg. 14, the "the Opcode" being sent to the C45 R/W Control).

28. **As per claim 16**, Pannell discloses said control register is further operable to store a register indicator indicative of a register within said block (pg. 14, the signal sent from the "C45 R/W Control" to the "Addr Reg"). *It should be noted that the Addr Reg gets all its information from the C45 R/W Control, therefore, because the Addr Reg addresses the block of 65,536 Registers, it is inherently required the C45 R/W Control stores register addresses (i.e. register indicators) of registers within the block of 65,536 Registers.*

29. **As per claim 17**, Pannell discloses said control register is further operable to store a port indicator (pg. 14, the "Port Select" signal). *Please see the citation note for claim 4 above.*

30. **As per claim 18**, Pannell discloses said control register is operable to specify a plurality of ports (pg. 7, line 5; pg. 14, the "5 Port Address Pins" and the "Port Select" signal).

31. **As per claim 22**, Pannell discloses said location and control registers are registers specified by IEEE standard 802.3 clause 22 (pg. 25).

32. **As per claim 23**, Pannell discloses a plurality of register banks, each bank including a plurality of register blocks (pg. 14, the "65,536 Registers"). *It should be noted that when taking the broadest reasonable interpretation of the claim language a "bank" is merely an arbitrary unit of "blocks." Since a block comprises at least two*

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*registers and a bank comprises at least two blocks, it follows that since Pannell discloses 65,536 registers Pannell also discloses a plurality of "banks."*

33. **As per claim 24**, Pannell discloses said location register further indicates at least one of said register blocks (pg. 14, the "Addr Reg"; pg. 10, the "Management Frame Fields - Clause 45" table). *It should be noted that the Addr Reg is used to address (i.e. indicate) the 65,536 Registers.*

**Claim Rejections - 35 USC § 103**

34. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

35. **Claim 13 is rejected under 35 U.S.C. 103(a) as being obvious over Pannell in view of Nick Parlante "Pointers and Memory", hereafter "Parlante."**

36. Pannell discloses said location register includes a block selector. (pg. 14, the "Device Select" signal). *Please see the citation note for claim 2 above.*

Pannell does not expressly disclose said location said location register includes a pointer to a block selector.

Parlante discloses pointers (pg. 3, 1<sup>st</sup> paragraph).

Pannell and Parlante are analogous art because they are from the same field of endeavor, that being memory systems.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to implement Parlante's pointers within Pannell's location register.

The motivation for doing so would have been because pointers allow different sections of code to share information easily. You can get the same effect by copying information back and forth, but pointers solve the problem better. Also, pointers enable complex "linked" data structures like linked lists and binary trees (Parlante, pg. 3, 2<sup>nd</sup> paragraph).

Therefore, it would have been obvious to combine Pannell and Parlante for the benefit of obtaining the invention as specified in claim 13.

37. **Claim 25 is rejected under 35 U.S.C. 103(a) as being obvious over Pannell in view of Webb et al. (U.S. Patent 5,694,587).**

Pannell discloses a location register (pg. 14, the "Addr Reg").

Pannell does not expressly disclose a mask register following the location register and specifying a mask for the specified block of registers.

Webb discloses a mask register specifying a mask (col. 6, line 67 – col. 7, line 3; col. 7, lines 40-42).

Pannell and Webb are analogous art because they are from the same field of endeavor, that being computer systems.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to implement Webb's mask register within Pannell's Clause 22/Clause 45 system.

The motivation for doing so would have been to allow fast access to mask (Webb, col. 7, line 41).

Therefore, it would have been obvious to combine Pannell and Webb for the benefit of obtaining the invention as specified in claim 25.

### **Conclusion**

### **STATUS OF CLAIMS IN THE APPLICATION**

The following is a summary of the treatment and status of all claims in the application as recommended by MPEP 707.70(i):

### **Allowable Subject Matter**

38. **Claims 5, 14, and 19-21** are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
39. **Claims 5 and 20** also need to be rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 1st paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.
40. **Claim 20** also need to be rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.
41. If Applicant should choose to rewrite the independent claims to include the limitations recited in either one of **claims 5, 14, and 19-21**, the Applicant is encouraged

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to amend the title of the invention such that it is descriptive of the invention as claimed as required by sec. 606.01 of the MPEP. Furthermore, the Summary of the Invention and the Abstract should be amended to bring them into harmony with the allowed claims as required by paragraph 2 of sec. 1302.01 of the MPEP.

42. As allowable subject matter has been indicated, Applicant's response must either comply with all formal requirements or specifically traverse each requirement not complied with. See 37 C.F.R. § 1.111(b) and § 707.07(a) of the MPEP.

**Claims Rejected in the Application**

Per the instant office action, claims 1-4, 6-13, 15-18, 22-25 have received a first action on the merits and are subject of a first action non-final.

**RELEVANT ART CITED BY THE EXAMINER**

The following prior art made of record and not relied upon is cited to establish the level of skill in Applicant's art and those arts considered reasonably pertinent to Applicant's disclosure. See MPEP 707.05(e).

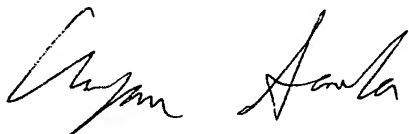
1. U.S. Patent 6,011,799 discloses a method and apparatus for managing external physical layer devices.
2. U.S. Patent 6,215,816 discloses a physical layer interface device.
3. U.S. Patent 7,039,690 discloses a system and method for accessing registers of PHY device in network.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arpan P. Savla whose telephone number is (571) 272-1077. The examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks can be reached on (571) 272-4201. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Arpan Savla  
Assistant Examiner  
Art Unit 2185  
June 26, 2006



DONALD SPARKS  
SUPERVISORY PATENT EXAMINER



<b>Notice of References Cited</b>	Application/Control No. 10/849,749		Applicant(s)/Patent Under Reexamination CLASEMAN, GEORGE	
	Examiner Arpan P. Savla		Art Unit 2185	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,011,799	01-2000	Kerstein et al.	370/422
*	B	US-6,215,816	04-2001	Gillespie et al.	375/219
*	C	US-7,039,690	05-2006	Bullman et al.	709/220
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Nick Parlante, "Pointers and Memory", copyright 1998-2000, pp. 1-31, accessed at <a href="http://cslibrary.stanford.edu/102/PointersAndMemory.pdf">http://cslibrary.stanford.edu/102/PointersAndMemory.pdf</a> on June 26, 2006.
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# *Pointers and Memory*

By Nick Parlante

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## **Abstract**

This document explains how pointers and memory work and how to use them—from the basic concepts through all the major programming techniques. For each topic there is a combination of discussion, sample C code, and drawings.

## **Audience**

This document can be used as an introduction to pointers for someone with basic programming experience. Alternately, it can be used to review and to fill in gaps for someone with a partial understanding of pointers and memory. Many advanced programming and debugging problems only make sense with a complete understanding of pointers and memory — this document tries to provide that understanding. This document concentrates on explaining how pointers work. For more advanced pointer applications and practice problems, see the other resources below.

## **Pace**

Like most CS Education Library documents, the coverage here tries to be complete but fast. The document starts with the basics and advances through all the major topics. The pace is fairly quick — each basic concept is covered once and usually there is some example code and a memory drawing. Then the text moves on to the next topic. For more practice, you can take the time to work through the examples and sample problems. Also, see the references below for more practice problems.

## **Topics**

Topics include: pointers, local memory, allocation, deallocation, dereference operations, pointer assignment, deep vs. shallow copies, the ampersand operator (&), bad pointers, the NULL pointer, value parameters, reference parameters, heap allocation and deallocation, memory ownership models, and memory leaks. The text focuses on pointers and memory in compiled languages like C and C++. At the end of each section, there is some related but optional material, and in particular there are occasional notes on other languages, such as Java.

**Pointers and Memory** – document #102 in the Stanford CS Education Library. This and other free educational materials are available at <http://cslibrary.stanford.edu/102/>. This document is free to be used, reproduced, sold, or retransmitted so long as this notice is clearly reproduced at its beginning.

## **Other CS Education Library Documents**

- Point Fun With Binky Video (<http://cslibrary.stanford.edu/104/>)  
A silly video about pointer basics.
- Linked list Basics (<http://cslibrary.stanford.edu/103/>)  
Introduces the basic techniques for building linked lists in C.

- **Linked List Problems** (<http://cslibrary.stanford.edu/105/>)  
18 classic linked list problems with solutions — a great way to practice with realistic, pointer intensive C code, and there's just no substitute for practice!
- **Essential C** (<http://cslibrary.stanford.edu/101/>)  
Complete coverage of the C language, including all of the syntax used in this document.

## Table of Contents

Section 1 Basic Pointers.....	pg. 3
The basic rules and drawings for pointers: pointers, pointees, pointer assignment (=), pointer comparison (==), the ampersand operator (&), the NULL pointer, bad pointers, and bad dereferences.	
Section 2 Local Memory .....	pg. 11
How local variables and parameters work: local storage, allocation, deallocation, the ampersand bug. Understanding the separation of local memory between separate functions.	
Section 3 Reference Parameters.....	pg. 17
Combines the previous two sections to show how a function can use "reference parameters" to communicate back to its caller.	
Section 4 Heap Memory .....	pg. 24
Builds on all the previous sections to explain dynamic heap memory: heap allocation, heap deallocation, array allocation, memory ownership models, and memory leaks.	

## Edition

The first edition of this document was on Jan 19, 1999. This Feb 21, 2000 edition represents only very minor changes. The author may be reached at [nick.parlante@cs.stanford.edu](mailto:nick.parlante@cs.stanford.edu). The CS Education Library may be reached at [cslibrary@cs.stanford.edu](mailto:cslibrary@cs.stanford.edu).

## Dedication

This document is distributed for the benefit and education of all. That someone seeking education should have the opportunity to find it. May you learn from it in the spirit in which it is given — to make efficiency and beauty in your designs, peace and fairness in your actions.

## Preface To The First Edition

This article has appeared to hover at around 80% done for 6 months! Every time I add one section, I think of two more which also need to be written. I was motivated to keep working on it since there are so many other articles which use memory, &, ... in passing where I wanted something to refer to. I hope you find it valuable in its current form. I'm going to ship it quickly before I accidentally start adding another section!

# Section 1 — Basic Pointers

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## Pointers — Before and After

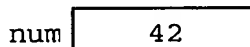
There's a lot of nice, tidy code you can write without knowing about pointers. But once you learn to use the power of pointers, you can never go back. There are too many things that can only be done with pointers. But with increased power comes increased responsibility. Pointers allow new and more ugly types of bugs, and pointer bugs can crash in random ways which makes them more difficult to debug. Nonetheless, even with their problems, pointers are an irresistibly powerful programming construct. (The following explanation uses the C language syntax where a syntax is required; there is a discussion of Java at the section.)

## Why Have Pointers?

Pointers solve two common software problems. First, pointers allow different sections of code to share information easily. You can get the same effect by copying information back and forth, but pointers solve the problem better. Second, pointers enable complex "linked" data structures like linked lists and binary trees.

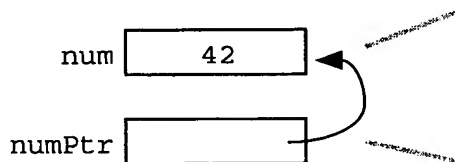
## What Is A Pointer?

Simple `int` and `float` variables operate pretty intuitively. An `int` variable is like a box which can store a single `int` value such as 42. In a drawing, a simple variable is a box with its current value drawn inside.



A pointer works a little differently—it does not store a simple value directly. Instead, a pointer stores a **reference** to another value. The variable the pointer refers to is sometimes known as its "pointee". In a drawing, a pointer is a box which contains the beginning of an arrow which leads to its pointee. (There is no single, official, word for the concept of a pointee — pointee is just the word used in these explanations.)

The following drawing shows two variables: `num` and `numPtr`. The simple variable `num` contains the value 42 in the usual way. The variable `numPtr` is a pointer which contains a reference to the variable `num`. The `numPtr` variable is the pointer and `num` is its pointee. What is stored inside of `numPtr`? Its value is not an `int`. Its value is a reference to an `int`.



A simple `int` variable. The current value is the integer 42. This variable also plays the role of pointee for the pointer below.

A pointer variable. The current value is a reference to the pointee `num` above.

### Pointer Dereference

The "dereference" operation follows a pointer's reference to get the value of its pointee. The value of the dereference of `numPtr` above is 42. When the dereference operation is used correctly, it's simple. It just accesses the value of the pointee. The only restriction is that the pointer must have a pointee for the dereference to access. Almost all bugs in pointer code involve violating that one restriction. A pointer must be assigned a pointee before dereference operations will work.

### The NULL Pointer

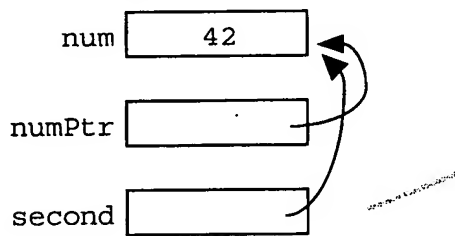
The constant `NULL` is a special pointer value which encodes the idea of "points to nothing." It turns out to be convenient to have a well defined pointer value which represents the idea that a pointer does not have a pointee. It is a runtime error to dereference a `NULL` pointer. In drawings, the value `NULL` is usually drawn as a diagonal line between the corners of the pointer variable's box...



The C language uses the symbol `NULL` for this purpose. `NULL` is equal to the integer constant 0, so `NULL` can play the role of a boolean false. Official C++ no longer uses the `NULL` symbolic constant — use the integer constant 0 directly. Java uses the symbol `null`.

### Pointer Assignment

The assignment operation (`=`) between two pointers makes them point to the same pointee. It's a simple rule for a potentially complex situation, so it is worth repeating: assigning one pointer to another makes them point to the same thing. The example below adds a second pointer, `second`, assigned with the statement `second = numPtr;`. The result is that `second` points to the same pointee as `numPtr`. In the drawing, this means that the `second` and `numPtr` boxes both contain arrows pointing to `num`. Assignment between pointers does not change or even touch the pointees. It just changes which pointee a pointer refers to.



A second pointer `ptr` initialized with the assignment `second = numPtr;`. This causes `second` to refer to the same pointee as `numPtr`.

After assignment, the `==` test comparing the two pointers will return true. For example (`second==numPtr`) above is true. The assignment operation also works with the `NULL` value. An assignment operation with a `NULL` pointer copies the `NULL` value from one pointer to another.

### Make A Drawing

Memory drawings are the key to thinking about pointer code. When you are looking at code, thinking about how it will use memory at run time....make a quick drawing to work out your ideas. This article certainly uses drawings to show how pointers work. That's the way to do it.

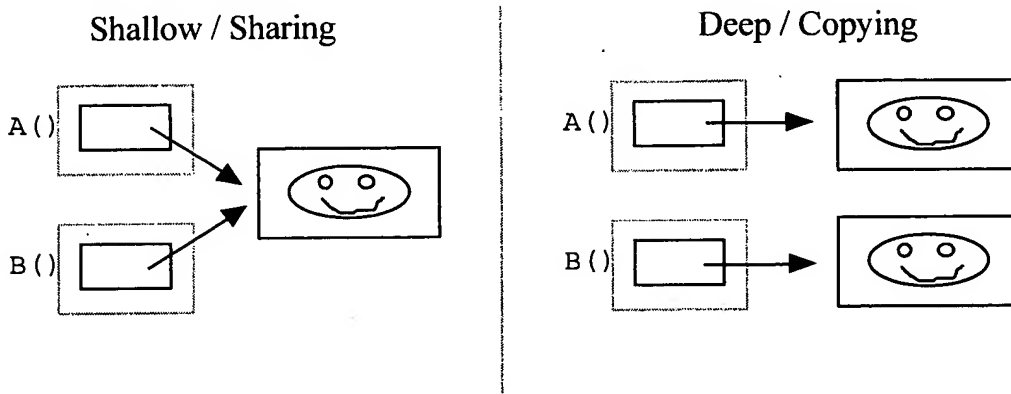
## Sharing

Two pointers which both refer to a single pointee are said to be "sharing". That two or more entities can cooperatively share a single memory structure is a key advantage of pointers in all computer languages. Pointer manipulation is just technique — sharing is often the real goal. In Section 3 we will see how sharing can be used to provide efficient communication between parts of a program.

## Shallow and Deep Copying

In particular, sharing can enable communication between two functions. One function passes a pointer to the value of interest to another function. Both functions can access the value of interest, but the value of interest itself is not copied. This communication is called "shallow" since instead of making and sending a (large) copy of the value of interest, a (small) pointer is sent and the value of interest is shared. The recipient needs to understand that they have a shallow copy, so they know not to change or delete it since it is shared. The alternative where a complete copy is made and sent is known as a "deep" copy. Deep copies are simpler in a way, since each function can change their copy without interfering with the other copy, but deep copies run slower because of all the copying.

The drawing below shows shallow and deep copying between two functions, A() and B(). In the shallow case, the smiley face is shared by passing a pointer between the two. In the deep case, the smiley face is copied, and each function gets their own...



Section 2 will explain the above sharing technique in detail.

## Bad Pointers

When a pointer is first allocated, it does not have a pointee. The pointer is "uninitialized" or simply "bad". A dereference operation on a bad pointer is a serious runtime error. If you are lucky, the dereference operation will crash or halt immediately (Java behaves this way). If you are unlucky, the bad pointer dereference will corrupt a random area of memory, slightly altering the operation of the program so that it goes wrong some indefinite time later. Each pointer must be assigned a pointee before it can support dereference operations. Before that, the pointer is bad and must not be used. In our memory drawings, the bad pointer value is shown with an XXX value...

numPtr X X X

Bad pointers are very common. In fact, **every pointer starts out with a bad value**. Correct code overwrites the bad value with a correct reference to a pointee, and thereafter the pointer works fine. There is nothing automatic that gives a pointer a valid pointee.

Quite the opposite — most languages make it easy to omit this important step. You just have to program carefully. If your code is crashing, a bad pointer should be your first suspicion.

Pointers in dynamic languages such as Perl, LISP, and Java work a little differently. The run-time system sets each pointer to NULL when it is allocated and checks it each time it is dereferenced. So code can still exhibit pointer bugs, but they will halt politely on the offending line instead of crashing haphazardly like C. As a result, it is much easier to locate and fix pointer bugs in dynamic languages. The run-time checks are also a reason why such languages always run at least a little slower than a compiled language like C or C++.

## Two Levels

One way to think about pointer code is that operates at two levels — pointer level and pointee level. The trick is that **both** levels need to be initialized and connected for things to work. (1) the pointer must be allocated, (2) the pointee must be allocated, and (3) the pointer must be assigned to point to the pointee. It's rare to forget step (1). But forget (2) or (3), and the whole thing will blow up at the first dereference. Remember to account for both levels — make a memory drawing during your design to make sure it's right.

## Syntax

The above basic features of pointers, pointees, dereferencing, and assigning are the only concepts you need to build pointer code. However, in order to talk about pointer code, we need to use a known syntax which is about as interesting as....a syntax. We will use the C language syntax which has the advantage that it has influenced the syntaxes of several languages.

## Pointer Type Syntax

A pointer type in C is just the pointee type followed by an asterisk (\*).

<code>int*</code>	type: pointer to <code>int</code>
<code>float*</code>	type: pointer to <code>float</code>
<code>struct fraction*</code>	type: pointer to <code>struct fraction</code>
<code>struct fraction**</code>	type: pointer to <code>struct fraction*</code>

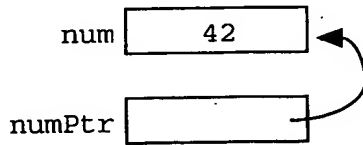
## Pointer Variables

Pointer variables are declared just like any other variable. The declaration gives the type and name of the new variable and reserves memory to hold its value. The declaration does not assign a pointee for the pointer — the pointer starts out with a bad value.

```
int* numPtr;    // Declare the int* (pointer to int) variable "numPtr".
                // This allocates space for the pointer, but not the pointee.
                // The pointer starts out "bad".
```

### The & Operator — Reference To

There are several ways to compute a reference to a pointee suitable for storing in a pointer. The simplest way is the & operator. The & operator can go to the left of any variable, and it computes a reference to that variable. The code below uses a pointer and an & to produce the earlier num/numPtr example.



```

void NumPtrExample() {
    int num;
    int* numPtr;

    num = 42;
    numPtr = &num; // Compute a reference to "num", and store it in numPtr
    // At this point, memory looks like drawing above
}
  
```

It is possible to use & in a way which compiles fine but which creates problems at run time — the full discussion of how to correctly use & is in Section 2. For now we will just use & in a simple way.

### The \* Operator — Dereference

The star operator (\*) dereferences a pointer. The \* is a unary operator which goes to the left of the pointer it dereferences. The pointer must have a pointee, or it's a runtime error.

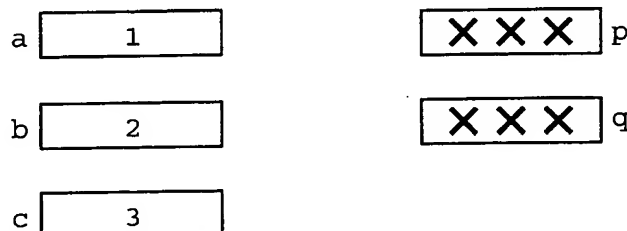
### Example Pointer Code

With the syntax defined, we can now write some pointer code that demonstrates all the pointer rules...

```

void PointerTest() {
    // allocate three integers and two pointers
    int a = 1;
    int b = 2;
    int c = 3;
    int* p;
    int* q;

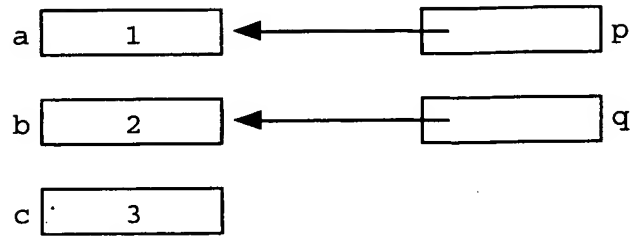
    // Here is the state of memory at this point.
    // Tl -- Notice that the pointers start out bad...
  
```



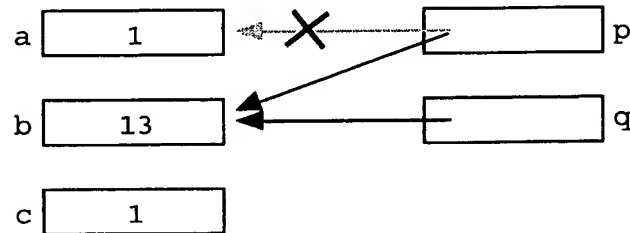
```

p = &a;    // set p to refer to a
  
```

```
q = &b;    // set q to refer to b
// T2 -- The pointers now have pointees
```



```
// Now we mix things up a bit...
c = *p; // retrieve p's pointee value (1) and put it in c
p = q;  // change p to share with q (p's pointee is now b)
*p = 13; // dereference p to set its pointee (b) to 13 (*q is now 13)
// T3 -- Dereferences and assignments mix things up
```



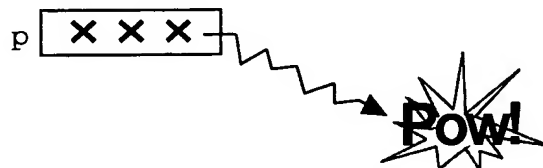
```
}
```

### Bad Pointer Example

Code with the most common sort of pointer bug will look like the above correct code, but without the middle step where the pointers are assigned pointees. The bad code will compile fine, but at run-time, each dereference with a bad pointer will corrupt memory in some way. The program will crash sooner or later. It is up to the programmer to ensure that each pointer is assigned a pointee before it is used. The following example shows a simple example of the bad code and a drawing of how memory is likely to react...

```
void BadPointer() {
    int* p;    // allocate the pointer, but not the pointee

    *p = 42;   // this dereference is a serious runtime error
}
// What happens at runtime when the bad pointer is dereferenced...
```



### Pointer Rules Summary

No matter how complex a pointer structure gets, the list of rules remains short.

- A pointer stores a reference to its pointee. The pointee, in turn, stores something useful.
- The dereference operation on a pointer accesses its pointee. A pointer may only be dereferenced after it has been assigned to refer to a pointee. Most pointer bugs involve violating this one rule.
- Allocating a pointer does not automatically assign it to refer to a pointee. Assigning the pointer to refer to a specific pointee is a separate operation which is easy to forget.
- Assignment between two pointers makes them refer to the same pointee which introduces sharing.

## Section 1 — Extra Optional Material

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### Extra: How Do Pointers Work In Java

Java has pointers, but they are not manipulated with explicit operators such as `*` and `&`. In Java, simple data types such as `int` and `char` operate just as in C. More complex types such as arrays and objects are automatically implemented using pointers. The language automatically uses pointers behind the scenes for such complex types, and no pointer specific syntax is required. The programmer just needs to realize that operations like `a=b`; will automatically be implemented with pointers if `a` and `b` are arrays or objects. Or put another way, the programmer needs to remember that assignments and parameters with arrays and objects are intrinsically shallow or shared— see the Deep vs. Shallow material above. The following code shows some Java object references. Notice that there are no `*`'s or `&`'s in the code to create pointers. The code intrinsically uses pointers. Also, the garbage collector (Section 4), takes care of the deallocation automatically at the end of the function.

```
public void JavaShallow() {
    Foo a = new Foo();    // Create a Foo object (no * in the declaration)
    Foo b = new Foo();    // Create another Foo object

    b=a;    // This is automatically a shallow assignment --
            // a and b now refer to the same object.

    a.Bar(); // This could just as well be written b.Bar();

    // There is no memory leak here -- the garbage collector
    // will automatically recycle the memory for the two objects.
}
```

The Java approach has two main features...

- *Fewer bugs.* Because the language implements the pointer manipulation accurately and automatically, the most common pointer bug are no longer possible, Yay! Also, the Java runtime system checks each pointer value every time it is used, so NULL pointer dereferences are caught immediately on the line where they occur. This can make a programmer much more productive.

- *Slower.* Because the language takes responsibility for implementing so much pointer machinery at runtime, Java code runs slower than the equivalent C code. (There are other reasons for Java to run slowly as well. There is active research in making Java faster in interesting ways — the Sun "Hot Spot" project.) In any case, the appeal of increased programmer efficiency and fewer bugs makes the slowness worthwhile for some applications.

### **Extra: How Are Pointers Implemented In The Machine?**

How are pointers implemented? The short explanation is that every area of memory in the machine has a numeric address like 1000 or 20452. A pointer to an area of memory is really just an integer which is storing the address of that area of memory. The dereference operation looks at the address, and goes to that area of memory to retrieve the pointee stored there. Pointer assignment just copies the numeric address from one pointer to another. The NULL value is generally just the numeric address 0 — the computer just never allocates a pointee at 0 so that address can be used to represent NULL. A bad pointer is really just a pointer which contains a random address — just like an uninitialized `int` variable which starts out with a random `int` value. The pointer has not yet been assigned the specific address of a valid pointee. This is why dereference operations with bad pointers are so unpredictable. They operate on whatever random area of memory they happen to have the address of.

### **Extra: The Term "Reference"**

The word "reference" means almost the same thing as the word "pointer". The difference is that "reference" tends to be used in a discussion of pointer issues which is not specific to any particular language or implementation. The word "pointer" connotes the common C/C++ implementation of pointers as addresses. The word "reference" is also used in the phrase "reference parameter" which is a technique which uses pointer parameters for two-way communication between functions — this technique is the subject of Section 3.

### **Extra: Why Are Bad Pointer Bugs So Common?**

Why is it so often the case that programmers will allocate a pointer, but forget to set it to refer to a pointee? The rules for pointers don't seem that complex, yet every programmer makes this error repeatedly. Why? The problem is that we are trained by the tools we use. Simple variables don't require any extra setup. You can allocate a simple variable, such as `int`, and use it immediately. All that `int`, `char`, `struct` `fraction` code you have written has trained you, quite reasonably, that a variable may be used once it is declared. Unfortunately, pointers *look* like simple variables but they require the extra initialization before use. It's unfortunate, in a way, that pointers happen look like other variables, since it makes it easy to forget that the rules for their use are very different. Oh well. Try to remember to assign your pointers to refer to pointees. Don't be surprised when you forget.

## Section 2 — Local Memory

---

### Thanks For The Memory

Local variables are the programming structure everyone uses but no one thinks about. You think about them a little when first mastering the syntax. But after a few weeks, the variables are so automatic that you soon forget to think about how they work. This situation is a credit to modern programming languages— most of the time variables appear automatically when you need them, and they disappear automatically when you are finished. For basic programming, this is a fine situation. However, for advanced programming, it's going to be useful to have an idea of how variables work...

### Allocation And Deallocation

Variables represent storage space in the computer's memory. Each variable presents a convenient names like `length` or `sum` in the source code. Behind the scenes at runtime, each variable uses an area of the computer's memory to store its value. It is not the case that every variable in a program has a permanently assigned area of memory. Instead, modern languages are smart about giving memory to a variable only when necessary. The terminology is that a variable is **allocated** when it is given an area of memory to store its value. While the variable is allocated, it can operate as a variable in the usual way to hold a value. A variable is **deallocated** when the system reclaims the memory from the variable, so it no longer has an area to store its value. For a variable, the period of time from its allocation until its deallocation is called its **lifetime**.

The most common memory related error is using a deallocated variable. For local variables, modern languages automatically protect against this error. With pointers, as we will see however, the programmer must make sure that allocation is handled correctly..

### Local Memory

The most common variables you use are "local" variables within functions such as the variables `num` and `result` in the following function. All of the local variables and parameters taken together are called its "local storage" or just its "locals", such as `num` and `result` in the following code...

```
// Local storage example
int Square(int num) {
    int result;

    result = num * num;

    return result;
}
```

The variables are called "local" to capture the idea that their lifetime is tied to the function where they are declared. Whenever the function runs, its local variables are allocated. When the function exits, its locals are deallocated. For the above example, that means that when the `Square()` function is called, local storage is allocated for `num` and `result`. Statements like `result = num * num;` in the function use the local storage. When the function finally exits, its local storage is deallocated.

Here is a more detailed version of the rules of local storage...

1. When a function is called, memory is allocated for all of its locals. In other words, when the flow of control hits the starting '{' for the function, all of its locals are allocated memory. Parameters such as `num` and local variables such as `result` in the above example both count as locals. The only difference between parameters and local variables is that parameters start out with a value copied from the caller while local variables start with random initial values. This article mostly uses simple `int` variables for its examples, however local allocation works for any type: structs, arrays... these can all be allocated locally.
2. The memory for the locals continues to be allocated so long as the thread of control is within the owning function. Locals continue to exist even if the function temporarily passes off the thread of control by calling another function. The locals exist undisturbed through all of this.
3. Finally, when the function finishes and exits, its locals are deallocated. This makes sense in a way — suppose the locals were somehow to continue to exist — how could the code even refer to them? The names like `num` and `result` only make sense within the body of `Square()` anyway. Once the flow of control leaves that body, there is no way to refer to the locals even if they were allocated. That locals are available ("scoped") only within their owning function is known as "lexical scoping" and pretty much all languages do it that way now.

### Small Locals Example

Here is a simple example of the lifetime of local storage...

```
void Foo(int a) { // (1) Locals (a, b, i, scores) allocated when Foo runs
    int i;
    float scores[100]; // This array of 100 floats is allocated locally.

    a = a + 1; // (2) Local storage is used by the computation
    for (i=0; i<a; i++) {
        Bar(i + a); // (3) Locals continue to exist undisturbed,
    }              // even during calls to other functions.

} // (4) The locals are all deallocated when the function exits.
```

### Large Locals Example

Here is a larger example which shows how the simple rule "the locals are allocated when their function begins running and are deallocated when it exits" can build more complex behavior. You will need a firm grasp of how local allocation works to understand the material in sections 3 and 4 later.

The drawing shows the sequence of allocations and deallocations which result when the function `X()` calls the function `Y()` twice. The points in time `T1`, `T2`, etc. are marked in the code and the state of memory at that time is shown in the drawing.

```

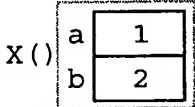
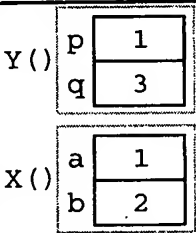
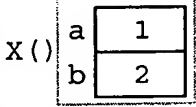
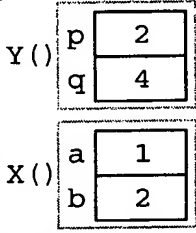
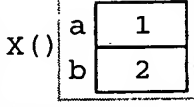
void X() {
    int a = 1;
    int b = 2;
    // T1

    Y(a);
    // T3
    Y(b);

    // T5
}

void Y(int p) {
    int q;
    q = p + 2;
    // T2 (first time through), T4 (second time through)
}

```

T1 - X()'s locals have been allocated and given values..	T2 - Y() is called with p=1, and its locals are allocated. X()'s locals continue to be allocated.	T3 - Y() exits and its locals are deallocated. We are left only with X()'s locals.	T4 - Y() is called again with p=2, and its locals are allocated a second time.	T5 - Y() exits and its locals are deallocated. X()'s locals will be deallocated when it exits.
				

(optional extra...) The drawing shows the sequence of the locals being allocated and deallocated — in effect the drawing shows the operation over time of the "stack" which is the data structure which the system uses to implement local storage.

### Observations About Local Parameters

Local variables are tightly associated with their function — they are used there and nowhere else. Only the X() code can refer to its a and b. Only the Y() code can refer to its p and q. This independence of local storage is the root cause of both its advantages and disadvantages.

### Advantages Of Locals

Locals are great for 90% of a program's memory needs....

*Convenient.* Locals satisfy a convenient need — functions often need some temporary memory which exists only during the function's computation. Local variables conveniently provide this sort of temporary, independent memory.

*Efficient.* Relative to other memory use techniques, locals are very efficient. Allocating and deallocating them is time efficient (fast) and they are space efficient in the way they use and recycle memory.

*Local Copies.* Local parameters are basically local **copies** of the information from the caller. This is also known as "pass by value." Parameters are local variables which are initialized with an assignment (=) operation from the caller. The caller is not "sharing" the parameter value with the callee in the pointer sense— the callee is getting its own copy. This has the advantage that the callee can change its local copy without affecting the caller. (Such as with the "p" parameter in the above example.) This independence is good since it keeps the operation of the caller and callee functions separate which follows the rules of good software engineering — keep separate components as independent as possible.

### Disadvantages Of Locals

There are two disadvantages of Locals

*Short Lifetime.* Their allocation and deallocation schedule (their "lifetime") is very strict. Sometimes a program needs memory which continues to be allocated even after the function which originally allocated it has exited. Local variables will not work since they are deallocated automatically when their owning function exits. This problem will be solved later in Section 4 with "heap" memory.

*Restricted Communication.* Since locals are copies of the caller parameters, they do not provide a means of communication from the callee back to the caller. This is the downside of the "independence" advantage. Also, sometimes making copies of a value is undesirable for other reasons. We will see the solution to this problem below in Section 3 "Reference Parameters".

### Synonyms For "Local"

Local variables are also known as "automatic" variables since their allocation and deallocation is done automatically as part of the function call mechanism. Local variables are also sometimes known as "stack" variables because, at a low level, languages almost always implement local variables using a stack structure in memory.

### The Ampersand (&) Bug — TAB

Now that you understand the allocation schedule of locals, you can appreciate one of the more ugly bugs possible in C and C++. What is wrong with the following code where the function Victim() calls the function TAB()? To see the problem, it may be useful to make a drawing to trace the local storage of the two functions...

```
// TAB -- The Ampersand Bug function
// Returns a pointer to an int
int* TAB() {
    int temp;
    return(&temp); // return a pointer to the local int
}

void Victim() {
    int* ptr;
    ptr = TAB();
    *ptr = 42;      // Runtime error! The pointee was local to TAB
}
```

TAB() is actually fine while it is running. The problem happens to its caller after TAB() exits. TAB() returns a pointer to an `int`, but where is that `int` allocated? The problem is that the local `int`, `temp`, is allocated only while TAB() is running. When TAB() exits, all of its locals are deallocated. So the caller is left with a pointer to a deallocated variable. TAB()'s locals are deallocated when it exits, just as happened to the locals for `Y()` in the previous example.

It is incorrect (and useless) for TAB() to return a pointer to memory which is about to be deallocated. We are essentially running into the "lifetime" constraint of local variables. We want the `int` to exist, but it gets deallocated automatically. Not all uses of `&` between functions are incorrect — only when used to pass a pointer back to the caller. The correct uses of `&` are discussed in section 3, and the way to pass a pointer back to the caller is shown in section 4.

### Local Memory Summary

Locals are very convenient for what they do — providing convenient and efficient memory for a function which exists only so long as the function is executing. Locals have two deficiencies which we will address in the following sections — how a function can communicate back to its caller (Section 3), and how a function can allocate separate memory with a less constrained lifetime (section 4).

## Section 2 — Extra Optional Material

---

### Extra: How Does The Function Call Stack Work?

You do not need to know how local variables are implemented during a function call, but here is a rough outline of the steps if you are curious. The exact details of the implementation are language and compiler specific. However, the basic structure below is approximates the method used by many different systems and languages...

To call a function such as `foo(6, x+1) ...`

1. Evaluate the actual parameter expressions, such as the `x+1`, in the caller's context.
2. Allocate memory for `foo()`'s locals by pushing a suitable "local block" of memory onto a runtime "call stack" dedicated to this purpose. For parameters but not local variables, store the values from step (1) into the appropriate slot in `foo()`'s local block.
3. Store the caller's current address of execution (its "return address") and switch execution to `foo()`.
4. `foo()` executes with its local block conveniently available at the end of the call stack.
5. When `foo()` is finished, it exits by popping its locals off the stack and "returns" to the caller using the previously stored return address. Now the caller's locals are on the end of the stack and it can resume executing.

For the extremely curious, here are other miscellaneous notes on the function call process...

- This is why infinite recursion results in a "Stack Overflow Error" — the code keeps calling and calling resulting in steps (1) (2) (3), (1) (2) (3), but never a step (4)...eventually the call stack runs out of memory.
- This is why local variables have random initial values — step (2) just pushes the whole local block in one operation. Each local gets its own area of memory, but the memory will contain whatever the most recent tenant left there. To clear all of the local block for each function call would be too time expensive.
- The "local block" is also known as the function's "activation record" or "stack frame". The entire block can be pushed onto the stack (step 2), in a single CPU operation — it is a very fast operation.
- For a multithreaded environment, each thread gets its own call stack instead of just having single, global call stack.
- For performance reasons, some languages pass some parameters through registers and others through the stack, so the overall process is complex. However, the apparent the lifetime of the variables will always follow the "stack" model presented here.

## Section 3 — Reference Parameters

In the simplest "pass by value" or "value parameter" scheme, each function has separate local memory and parameters are copied from the caller to the callee at the moment of the function call. But what about the other direction? How can the callee communicate back to its caller? Using a "return" at the end of the callee to copy a result back to the caller works for simple cases, but does not work well for all situations. Also, sometimes copying values back and forth is undesirable. "Pass by reference" parameters solve all of these problems.

For the following discussion, the term "value of interest" will be a value that the caller and callee wish to communicate between each other. A reference parameter passes a pointer to the value of interest instead of a copy of the value of interest. This technique uses the sharing property of pointers so that the caller and callee can share the value of interest.

### Bill Gates Example

Suppose functions A() and B() both do computations involving Bill Gates' net worth measured in billions of dollars — the value of interest for this problem. A() is the main function and it stores the initial value (about 55 as of 1998). A() calls B() which tries to add 1 to the value of interest.

### Bill Gates By Value

Here is the code and memory drawing for a simple, but incorrect implementation where A() and B() use pass by value. Three points in time, T1, T2, and T3 are marked in the code and the state of memory is shown for each state...

```
void B(int worth) {
    worth = worth + 1;
    // T2
}
void A() {
    int netWorth;
    netWorth = 55; // T1

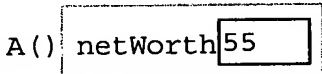
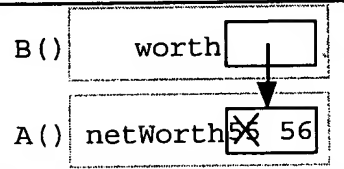

    B(netWorth);
    // T3 -- B() did not change netWorth
}
```

T1 -- The value of interest netWorth is local to A().	T2 -- netWorth is copied to B()'s local worth. B() changes its local worth from 55 to 56.	T3 -- B() exits and its local worth is deallocated. The value of interest has not been changed.
A() netWorth 55	B() worth 56 A() netWorth 55	A() netWorth 55

B() adds 1 to its local `worth` copy, but when B() exits, `worth` is deallocated, so changing it was useless. The value of `interest`, `netWorth`, rests unchanged the whole time in A()'s local storage. A function can change its local copy of the value of `interest`, but that change is not reflected back in the original value. This is really just the old "independence" property of local storage, but in this case it is not what is wanted.

### By Reference

The reference solution to the Bill Gates problem is to use a single `netWorth` variable for the value of `interest` and never copy it. Instead, each function can receive a pointer to `netWorth`. Each function can see the current value of `netWorth` by dereferencing its pointer. More importantly, each function can change the net worth — just dereference the pointer to the centralized `netWorth` and change it directly. Everyone agrees what the current value of `netWorth` because it exists in only one place — everyone has a pointer to the one master copy. The following memory drawing shows A() and B() functions changed to use "reference" parameters. As before, T1, T2, and T3 correspond to points in the code (below), but you can study the memory structure without looking at the code yet.

<b>T1</b> -- The value of <code>interest</code> , <code>netWorth</code> , is local to A() as before.	<b>T2</b> -- Instead of a copy, B() receives a pointer to <code>netWorth</code> . B() dereferences its pointer to access and change the real <code>netWorth</code> .	<b>T3</b> -- B() exits, and <code>netWorth</code> has been changed.
		

The reference parameter strategy: B() receives a pointer to the value of `interest` instead of a copy.

### Passing By Reference

Here are the steps to use in the code to use the pass-by-reference strategy...

- Have a single copy of the value of `interest`. The single "master" copy.
- Pass pointers to that value to any function which wants to see or change the value.
- Functions can dereference their pointer to see or change the value of `interest`.
- Functions must remember that they do not have their own local copies. If they dereference their pointer and change the value, they really are changing the master value. If a function wants a local copy to change safely, the function must explicitly allocate and initialize such a local copy.

## Syntax

The syntax for by reference parameters in the C language just uses pointer operations on the parameters...

1. Suppose a function wants to communicate about some value of interest — `int` or `float` or `struct fraction`.
2. The function takes as its parameter a pointer to the value of interest — an `int*` or `float*` or `struct fraction*`. Some programmers will add the word "ref" to the name of a reference parameter as a reminder that it is a reference to the value of interest instead of a copy.
3. At the time of the call, the caller computes a pointer to the value of interest and passes that pointer. The type of the pointer (pointer to the value of interest) will agree with the type in (2) above. If the value of interest is local to the caller, then this will often involve a use of the `&` operator (Section 1).
4. When the callee is running, if it wishes to access the value of interest, it must dereference its pointer to access the actual value of interest. Typically, this equates to use of the dereference operator (`*`) in the function to see the value of interest.

## Bill Gates By Reference

Here is the Bill Gates example written to use reference parameters. This code now matches the by-reference memory drawing above.

```
// B() now uses a reference parameter -- a pointer to
// the value of interest. B() uses a dereference (*) on the
// reference parameter to get at the value of interest.
void B(int* worthRef) {          // reference parameter
    *worthRef = *worthRef + 1; // use * to get at value of interest
    // T2
}

void A() {
    int netWorth;
    netWorth = 55;              // T1 -- the value of interest is local to A()

    B(&netWorth); // Pass a pointer to the value of interest.
                  // In this case using &.

    // T3 -- B() has used its pointer to change the value of interest
}
```

## Don't Make Copies

Reference parameters enable communication between the callee and its caller. Another reason to use reference parameters is to avoid making copies. For efficiency, making copies may be undesirable if the value of interest is large, such as an array. Making the copy requires extra space for the copy itself and extra time to do the copying. From a design point of view, making copies may be undesirable because as soon as there are two copies, it is unclear which one is the "correct" one if either is changed. Proverb: "A person with one watch always knows what time it is. A person with two watches is never sure." Avoid making copies.

### Simple Reference Parameter Example — Swap()

The standard example of reference parameters is a Swap() function which exchanges the values of two ints. It's a simple function, but it does need to change the caller's memory which is the key feature of pass by reference.

#### Swap() Function

The values of interest for Swap() are two ints. Therefore, Swap() **does not** take ints as its parameters. It takes pointers to int — (int\*)'s. In the body of Swap() the parameters, a and b, are dereferenced with \* to get at the actual (int) values of interest.

```
void Swap(int* a, int* b) {
    int temp;

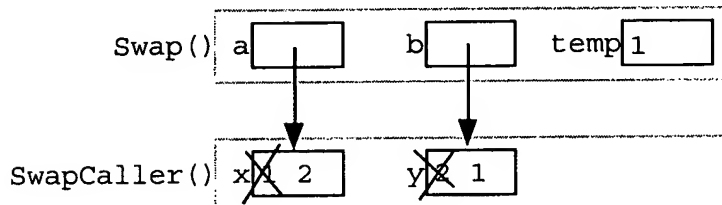
    temp = *a;
    *a = *b;
    *b = temp;
}
```

#### Swap() Caller

To call Swap(), the caller must pass pointers to the values of interest...

```
void SwapCaller() {
    int x = 1;
    int y = 2;

    Swap(&x, &y); // Use & to pass pointers to the int values of interest
                  // (x and y).
}
```



The parameters to Swap() are pointers to values of interest which are back in the caller's locals. The Swap() code can dereference the pointers to get back to the caller's memory to exchange the values. In this case, Swap() follows the pointers to exchange the values in the variables x and y back in SwapCaller(). Swap() will exchange any two ints given pointers to those two ints.

#### Swap() With Arrays

Just to demonstrate that the value of interest does not need to be a simple variable, here's a call to Swap() to exchange the first and last ints in an array. Swap() takes int\*'s, but the ints can be anywhere. An int inside an array is still an int.

```
void SwapCaller2() {
    int scores[10];
    scores[0] = 1;
    scores[9] = 2;
    Swap(&scores[0], &(scores[9])); // the ints of interest do not need to be
    // simple variables -- they can be any int. The caller is responsible
    // for computing a pointer to the int.
}
```

The above call to `Swap()` can be written equivalently as `Swap(scores, scores+9)` due to the array syntax in C. You can ignore this case if it is not familiar to you — it's not an important area of the language and both forms compile to the exact same thing anyway.

### Is The & Always Necessary?

When passing by reference, the caller does not always need to use `&` to compute a new pointer to the value of interest. Sometimes the caller already has a pointer to the value of interest, and so no new pointer computation is required. The pointer to the value of interest can be passed through unchanged.

For example, suppose `B()` is changed so it calls a `C()` function which adds 2 to the value of interest...

```
// Takes the value of interest by reference and adds 2.
void C(int* worthRef) {
    *worthRef = *worthRef + 2;
}

// Adds 1 to the value of interest, and calls C().
void B(int* worthRef) {
    *worthRef = *worthRef + 1; // add 1 to value of interest as before

    C(worthRef);              // NOTE no & required. We already have
                              // a pointer to the value of interest, so
                              // it can be passed through directly.
}
```

### What About The & Bug TAB?

All this use of `&` might make you nervous — are we committing the `&` bug from Section 2? No, it turns out the above uses of `&` are fine. The `&` bug happens when an `&` passes a pointer to local storage from the callee back to its caller. When the callee exits, its local memory is deallocated and so the pointer no longer has a pointee. In the above, correct cases, we use `&` to pass a pointer from the caller to the callee. The pointer remains valid for the callee to use because the caller locals continue to exist while the callee is running. The pointees will remain valid due to the simple constraint that the caller can only exit sometime after its callee exits. Using `&` to pass a pointer to local storage from the caller to the callee is fine. The reverse case, from the callee to the caller, is the `&` bug.

### The \*\* Case

What if the value of interest to be shared and changed between the caller and callee is already a pointer, such as an `int*` or a `struct fraction*`? Does that change the rules for setting up reference parameters? No. In that case, there is no change in the rules. They operate just as before. The reference parameter is still a pointer to the value of interest, even if the value of interest is itself a pointer. Suppose the value of interest is `int*`. This means there is an `int*` value which the caller and callee want to share and change. Then the reference parameter should be an `int**`. For a `struct fraction*` value of interest, the reference parameter is `struct fraction**`. A single dereference (`*`) operation on the reference parameter yields the value of interest as it did in the simple cases. Double pointer (`**`) parameters are common in linked list or other pointer manipulating code where the value of interest to share and change is itself a pointer, such as a linked list head pointer.

### **Reference Parameter Summary**

Passing by value (copying) does not allow the callee to communicate back to its caller and has also has the usual disadvantages of making copies. Pass by reference uses pointers to avoid copying the value of interest, and allow the callee to communicate back to the caller.

For pass by reference, there is only one copy of the value of interest, and pointers to that one copy are passed. So if the value of interest is an `int`, its reference parameter is an `int*`. If the value of interest is a `struct fraction*`, its reference parameter is a `struct fraction**`. Functions use the dereference operator (\*) on the reference parameter to see or change the value of interest.

## ***Section 3 — Extra Optional Material***

---

### **Extra: Reference Parameters in Java**

Because Java has no `*/&` operators, it is not possible to implement reference parameters in Java directly. Maybe this is ok — in the OOP paradigm, you should change objects by sending them messages which makes the reference parameter concept unnecessary. The caller passes the callee a (shallow) reference to the value of interest (object of interest?), and the callee can send it a message to change it. Since all objects are intrinsically shallow, any change is communicated back to the caller automatically since the object of interest was never copied.

### **Extra: Reference Parameters in C++**

Reference parameters are such a common programming task that they have been added as an official feature to the C++ language. So programming reference parameters in C++ is simpler than in C. All the programmer needs to do is syntactically indicate that they wish for a particular parameter to be passed by reference, and the compiler takes care of it. The syntax is to append a single `&` to right hand side of the parameter type. So an `int` parameter passes an integer by value, but an `int&` parameter passes an integer value by reference. The key is that the compiler takes care of it. In the source code, there's no additional fiddling around with `&`'s or `*`'s. So `Swap()` and `SwapCaller()` written with C++ look simpler than in C, even though they accomplish the same thing...

```
void Swap(int& a, int& b) {    // The & declares pass by reference
    int temp;

    temp = a;    // No *'s required -- the compiler takes care of it
    a = b;
    b = temp;
}

void SwapCaller() {
    int x = 1;
    int y = 2;

    Swap(x, y); // No &'s required -- the compiler takes care of it
}
```

The types of the various variables and parameters operate simply as they are declared (int in this case). The complicating layer of pointers required to implement the reference parameters is hidden. The compiler takes care of it without allowing the complication to disturb the types in the source code.

## Section 4 — Heap Memory

---

"Heap" memory, also known as "dynamic" memory, is an alternative to local stack memory. Local memory (Section 2) is quite automatic — it is allocated automatically on function call and it is deallocated automatically when a function exits. Heap memory is different in every way. The programmer explicitly requests the allocation of a memory "block" of a particular size, and the block continues to be allocated until the programmer explicitly requests that it be deallocated. Nothing happens automatically. So the programmer has much greater control of memory, but with greater responsibility since the memory must now be actively managed. The advantages of heap memory are...

*Lifetime.* Because the programmer now controls exactly when memory is allocated and deallocated, it is possible to build a data structure in memory, and return that data structure to the caller. This was never possible with local memory which was automatically deallocated when the function exited.

*Size.* The size of allocated memory can be controlled with more detail. For example, a string buffer can be allocated at run-time which is exactly the right size to hold a particular string. With local memory, the code is more likely to declare a buffer size 1000 and hope for the best. (See the `StringCopy()` example below.)

The disadvantages of heap memory are...

*More Work.* Heap allocation needs to be arranged explicitly in the code which is just more work.

*More Bugs.* Because it's now done explicitly in the code, realistically on occasion the allocation will be done incorrectly leading to memory bugs. Local memory is constrained, but at least it's never **wrong**.

Nonetheless, there are many problems that can only be solved with heap memory, so that's the way it has to be. In languages with garbage collectors such as Perl, LISP, or Java, the above disadvantages are mostly eliminated. The garbage collector takes over most of the responsibility for heap management at the cost of a little extra time taken at run-time.

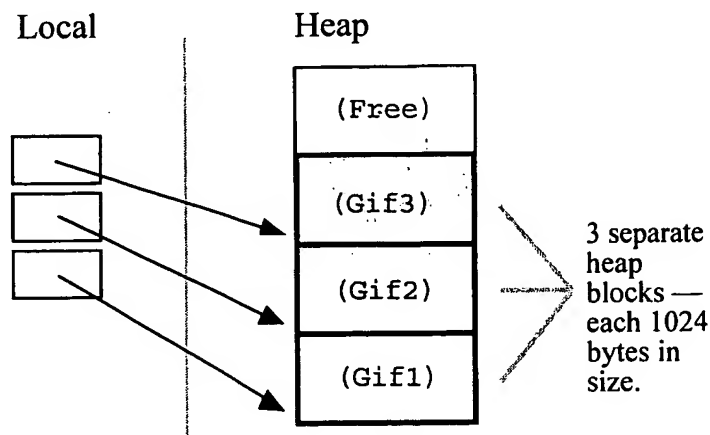
### What Does The Heap Look Like?

Before seeing the exact details, let's look at a rough example of allocation and deallocation in the heap...

#### Allocation

The heap is a large area of memory available for use by the program. The program can request areas, or "blocks", of memory for its use within the heap. In order to allocate a block of some size, the program makes an explicit request by calling the **heap allocation** function. The allocation function reserves a block of memory of the requested size in the heap and returns a pointer to it. Suppose a program makes three allocation requests to

allocate memory to hold three separate GIF images in the heap each of which takes 1024 bytes of memory. After the three allocation requests, memory might look like...

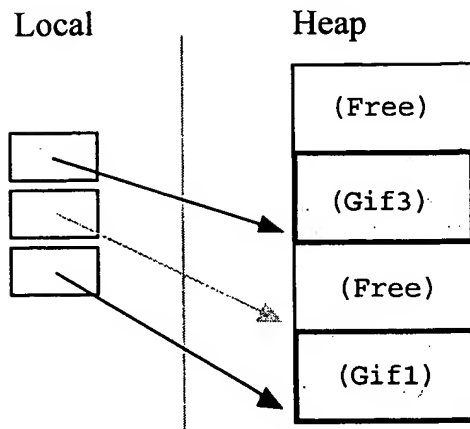


Each allocation request reserves a contiguous area of the requested size in the heap and returns a pointer to that new block to the program. Since each block is always referred to by a pointer, the block always plays the role of a "pointee" (Section 1) and the program always manipulates its heap blocks through pointers. The heap block pointers are sometimes known as "base address" pointers since by convention they point to the base (lowest address byte) of the block.

In this example, the three blocks have been allocated contiguously starting at the bottom of the heap, and each block is 1024 bytes in size as requested. In reality, the heap manager can allocate the blocks wherever it wants in the heap so long as the blocks do not overlap and they are at least the requested size. At any particular moment, some areas in the heap have been allocated to the program, and so are "in use". Other areas have yet to be committed and so are "free" and are available to satisfy allocation requests. The heap manager has its own, private data structures to record what areas of the heap are committed to what purpose at any moment. The heap manager satisfies each allocation request from the pool of free memory and updates its private data structures to record which areas of the heap are in use.

### Deallocation

When the program is finished using a block of memory, it makes an explicit **deallocation** request to indicate to the heap manager that the program is now finished with that block. The heap manager updates its private data structures to show that the area of memory occupied by the block is free again and so may be re-used to satisfy future allocation requests. Here's what the heap would look like if the program deallocates the second of the three blocks...



After the deallocation, the pointer continues to point to the now deallocated block. The program must not access the deallocated pointee. This is why the pointer is drawn in gray — the pointer is there, but it must not be used. Sometimes the code will set the pointer to NULL immediately after the deallocation to make explicit the fact that it is no longer valid.

### Programming The Heap

Programming the heap looks pretty much the same in most languages. The basic features are....

- The heap is an area of memory available to allocate areas ("blocks") of memory for the program.
- There is some "heap manager" library code which manages the heap for the program. The programmer makes requests to the heap manager, which in turn manages the internals of the heap. In C, the heap is managed by the ANSI library functions `malloc()`, `free()`, and `realloc()`.
- The heap manager uses its own private data structures to keep track of which blocks in the heap are "free" (available for use) and which blocks are currently in use by the program and how large those blocks are. Initially, all of the heap is free.
- The heap may be of a fixed size (the usual conceptualization), or it may appear to be of a fixed but extremely large size backed by virtual memory. In either case, it is possible for the heap to get "full" if all of its memory has been allocated and so it cannot satisfy an allocation request. The allocation function will communicate this run-time condition in some way to the program — usually by returning a NULL pointer or raising a language specific run-time exception.
- The allocation function requests a block in the heap of a particular size. The heap manager selects an area of memory to use to satisfy the request, marks that area as "in use" in its private data structures, and returns a pointer to the heap block. The caller is now free to use that memory by dereferencing the pointer. The block is guaranteed to be reserved for the sole use of the caller — the heap will not hand out that same area of memory to some other caller. The block does not move around inside the

heap — its location and size are fixed once it is allocated. Generally, when a block is allocated, its contents are random. The new owner is responsible for setting the memory to something meaningful. Sometimes there is variation on the memory allocation function which sets the block to all zeros (calloc() in C).

- The deallocation function is the opposite of the allocation function. The program makes a single deallocation call to return a block of memory to the heap free area for later re-use. Each block should only be deallocated once. The deallocation function takes as its argument a pointer to a heap block previously furnished by the allocation function. The pointer must be exactly the same pointer returned earlier by the allocation function, not just any pointer into the block. After the deallocation, the program must treat the pointer as bad and not access the deallocated pointee.

### C Specifics

In the C language, the library functions which make heap requests are malloc() ("memory allocate") and free(). The prototypes for these functions are in the header file <stdlib.h>. Although the syntax varies between languages, the roles of malloc() and free() are nearly identical in all languages...

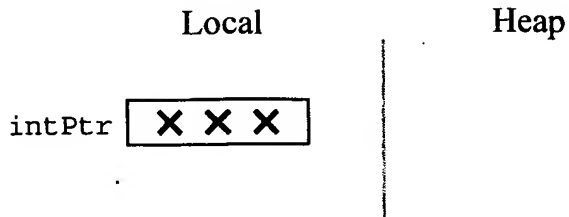
`void* malloc(unsigned long size);` The malloc() function takes an unsigned integer which is the requested size of the block measured in bytes. Malloc() returns a pointer to a new heap block if the allocation is successful, and NULL if the request cannot be satisfied because the heap is full. The C operator sizeof() is a convenient way to compute the size in bytes of a type — sizeof(int) for an int pointee, sizeof(struct fraction) for a struct fraction pointee.

`void free(void* heapBlockPointer);` The free() function takes a pointer to a heap block and returns it to the free pool for later re-use. The pointer passed to free() must be exactly the pointer returned earlier by malloc(), not just a pointer to somewhere in the block. Calling free() with the wrong sort of pointer is famous for the particularly ugly sort of crashing which it causes. The call to free() does not need to give the size of the heap block — the heap manager will have noted the size in its private data structures. The call to free() just needs to identify which block to deallocate by its pointer. If a program correctly deallocates all of the memory it allocates, then every call to malloc() will later be matched by exactly one call to free(). As a practical matter however, it is not always necessary for a program to deallocate every block it allocates — see "Memory Leaks" below.

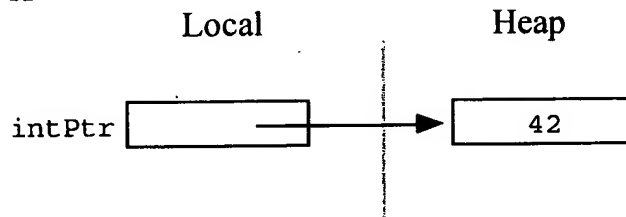
### Simple Heap Example

Here is a simple example which allocates an int block in the heap, stores the number 42 in the block, and then deallocates it. This is the simplest possible example of heap block allocation, use, and deallocation. The example shows the state of memory at three different times during the execution of the above code. The stack and heap are shown separately in the drawing — a drawing for code which uses stack and heap memory needs to distinguish between the two areas to be accurate since the rules which govern the two areas are so different. In this case, the lifetime of the local variable intPtr is totally separate from the lifetime of the heap block, and the drawing needs to reflect that difference.

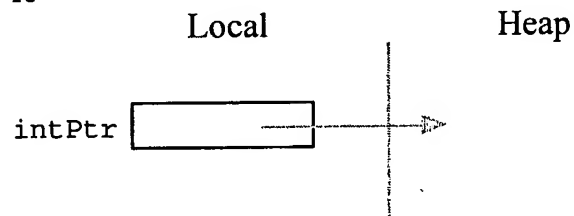
```
void Heap1() {
    int* intPtr;
    // Allocates local pointer local variable (but not its pointee)
    // T1
```



```
// Allocates heap block and stores its pointer in local variable.
// Dereferences the pointer to set the pointee to 42.
intPtr = malloc(sizeof(int));
*intPtr = 42;
// T2
```



```
// Deallocates heap block making the pointer bad.
// The programmer must remember not to use the pointer
// after the pointee has been deallocated (this is
// why the pointer is shown in gray).
free(intPtr);
// T3
```



```
}
```

### Simple Heap Observations

- After the allocation call allocates the block in the heap. The program stores the pointer to the block in the local variable `intPtr`. The block is the "pointee" and `intPtr` is its pointer as shown at T2. In this state, the pointer may be dereferenced safely to manipulate the pointee. The pointer/pointee rules from Section 1 still apply, the only difference is how the pointee is initially allocated.

- At T1 before the call to `malloc()`, `intPtr` is uninitialized does not have a pointee — at this point `intPtr` "bad" in the same sense as discussed in Section 1. As before, dereferencing such an uninitialized pointer is a common, but catastrophic error. Sometimes this error will crash immediately (lucky). Other times it will just slightly corrupt a random data structure (unlucky).
- The call to `free()` deallocates the pointee as shown at T3. Dereferencing the pointer after the pointee has been deallocated is an error. Unfortunately, this error will almost never be flagged as an immediate run-time error. 99% of the time the dereference will produce reasonable results 1% of the time the dereference will produce slightly wrong results. Ironically, such a rarely appearing bug is the most difficult type to track down.
- When the function exits, its local variable `intPtr` will be automatically deallocated following the usual rules for local variables (Section 2). So this function has tidy memory behavior — all of the memory it allocates while running (its local variable, its one heap block) is deallocated by the time it exits.

### Heap Array

In the C language, it's convenient to allocate an array in the heap, since C can treat any pointer as an array. The size of the array memory block is the size of each element (as computed by the `sizeof()` operator) multiplied by the number of elements (See CS Education Library/101 The C Language, for a complete discussion of C, and arrays and pointers in particular). So the following code heap allocates an array of 100 `struct fraction`'s in the heap, sets them all to 22/7, and deallocates the heap array...

```
void HeapArray() {
    struct fraction* fracts;
    int i;

    // allocate the array
    fracts = malloc(sizeof(struct fraction) * 100);

    // use it like an array -- in this case set them all to 22/7
    for (i=0; i<99; i++) {
        fracts[i].numerator = 22;
        fracts[i].denominator = 7;
    }

    // Deallocate the whole array
    free(fracts);
}
```

### Heap String Example

Here is a more useful heap array example. The `StringCopy()` function takes a C string, makes a copy of that string in the heap, and returns a pointer to the new string. The caller takes over ownership of the new string and is responsible for freeing it.

```
/*
  Given a C string, return a heap allocated copy of the string.
  Allocate a block in the heap of the appropriate size,
  copies the string into the block, and returns a pointer to the block.
  The caller takes over ownership of the block and is responsible
  for freeing it.
*/
char* StringCopy(const char* string) {
    char* newString;
    int len;

    len = strlen(string) + 1;           // +1 to account for the '\0'
    newString = malloc(sizeof(char)*len); // elem-size * number-of-elements
    assert(newString != NULL); // simplistic error check (a good habit)
    strcpy(newString, string); // copy the passed in string to the block

    return(newString); // return a ptr to the block
}
```

### Heap String Observations

`StringCopy()` takes advantage of both of the key features of heap memory...

*Size.* `StringCopy()` specifies, at run-time, the exact size of the block needed to store the string in its call to `malloc()`. Local memory cannot do that since its size is specified at compile-time. The call to `sizeof(char)` is not really necessary, since the size of `char` is 1 by definition. In any case, the example demonstrates the correct formula for the size of an array block which is *element-size \* number-of-elements*.

*Lifetime.* `StringCopy()` allocates the block, but then passes ownership of it to the caller. There is no call to `free()`, so the block continues to exist even after the function exits. Local memory cannot do that. The caller will need to take care of the deallocation when it is finished with the string.

### Memory Leaks

What happens if some memory is heap allocated, but never deallocated? A program which forgets to deallocate a block is said to have a "memory leak" which may or may not be a serious problem. The result will be that the heap gradually fill up as there continue to be allocation requests, but no deallocation requests to return blocks for re-use. For a program which runs, computes something, and exits immediately, memory leaks are not usually a concern. Such a "one shot" program could omit all of its deallocation requests and still mostly work. Memory leaks are more of a problem for a program which runs for an indeterminate amount of time. In that case, the memory leaks can gradually fill the heap until allocation requests cannot be satisfied, and the program stops working or crashes. Many commercial programs have memory leaks, so that when run for long enough, or with large data-sets, they fill their heaps and crash. Often the error detection and avoidance code for the heap-full error condition is not well tested, precisely because the case is rarely encountered with short runs of the program — that's why filling the heap often results in a real crash instead of a polite error message. Most compilers have a

"heap debugging" utility which adds debugging code to a program to track every allocation and deallocation. When an allocation has no matching deallocation, that's a leak, and the heap debugger can help you find them.

### Ownership

StringCopy() allocates the heap block, but it does not deallocate it. This is so the caller can use the new string. However, this introduces the problem that somebody does need to remember to deallocate the block, and it is not going to be StringCopy(). That is why the comment for StringCopy() mentions specifically that the caller is taking on **ownership** of the block. Every block of memory has exactly one "owner" who takes responsibility for deallocating it. Other entities can have pointers, but they are just sharing. There's only one owner, and the comment for StringCopy() makes it clear that ownership is being passed from StringCopy() to the caller. Good documentation always remembers to discuss the ownership rules which a function expects to apply to its parameters or return value. Or put the other way, a frequent error in documentation is that it forgets to mention, one way or the other, what the ownership rules are for a parameter or return value. That's one way that memory errors and leaks are created.

### Ownership Models

The two common patterns for ownership are...

*Caller ownership.* The caller owns its own memory. It may pass a pointer to the callee for sharing purposes, but the caller retains ownership. The callee can access things while it runs, and allocate and deallocate its own memory, but it should not disrupt the caller's memory.

*Callee allocated and returned.* The callee allocates some memory and returns it to the caller. This happens because the result of the callee computation needs new memory to be stored or represented. The new memory is passed to the caller so they can see the result, and the caller must take over ownership of the memory. This is the pattern demonstrated in StringCopy().

### Heap Memory Summary

Heap memory provides greater control for the programmer — the blocks of memory can be requested in any size, and they remain allocated until they are deallocated explicitly. Heap memory can be passed back to the caller since it is not deallocated on exit, and it can be used to build linked structures such as linked lists and binary trees. The disadvantage of heap memory is that the program must make explicit allocation and deallocate calls to manage the heap memory. The heap memory does not operate automatically and conveniently the way local memory does.